



**Agenda item 7.2**

**Liaison report: IAF Update**



## **IAF Liaison Report to CIML September 2015**

### **1. IAF Membership**

The number of IAF members now totals 97, with 71 Accreditation Body Members, 18 Association Members, 6 Regional Groups with Special Recognition: AFRAC (African Accreditation Cooperation), ARAC (ARAB Accreditation Cooperation), EA (European Cooperation for Accreditation), IAAC (Inter American Accreditation Cooperation), PAC (Pacific Accreditation Cooperation) and SADCA (Southern African Development Community in Accreditation) and 2 Observers. A number of other applications are on hand.

### **2. IAF Directors**

The current IAF Directors are Mr Randy Dougherty (ANAB), the IAF Chairman; Mr Xiao Jianhua (CNAS), IAF Vice-Chair; Dr Thomas Facklam (DAkkS), the Director representing Accreditation Body Members located in high income economies; Mr Vu Xuan Thuy (BoA), the Director representing Accreditation Body Members located in low and medium income economies; Mr Trevor Nash (ABCB), the Director representing Conformity Assessment Body Association Members; and Mr Martin Stadler (BDI), representing Industry and User Association Members.

Mr Dougherty, Mr Xiao and Dr Facklam will complete their second of two possible terms at the 2015 General Assembly meeting, and Mr Stadler his first.

### **3. IAF Committee Chairs**

The current Chairs of the decision making committees are: Mr Steve Keeling (JAS-ANZ), Chair of the MLA Committee; Mr Ekanit Romyanon (NSC Thailand), Chair of the Technical Committee (TC); and Mr Jon Murthy (UKAS), Chair of the Communications and Marketing Committee. Mr Fabián Hernández Colotla (EMA), Chair of the Development Support Committee (DSC), resigned effective 01 September 2015, and a replacement Chair is currently being sought. The current Chairs of the Advisory Committees are: Dr Thomas Facklam (DAkkS, and Director, High Income Economies); and Mr. Vu Thuy (BoA and Director, Low Income Economies), Co-Chairs of the Accreditation Body Information Exchange Group (ABIEG); Mr Martin Stadler (BDI), Chair of the User Advisory Committee (UAC); and Mr Trevor Nash (ABCB), Chair of the Conformity Assessment Body Advisory Committee (CABAC).

### **4. IAF Financial Oversight Committee (FOC)**

The current members of the Financial Oversight Committee are: Mr Marcos Oliveira (CGCRE, Chair), and Dr Eric Janssens (EOQ, Member). Mr Oliveira will complete his third two-year term out of a possible three, and Dr Janssens will complete his second two-year term out of a possible three, at the end of 2016. Dr. Tikkanen's second term was set to expire at the end of

2015; however she stepped down as of 11 Feb. 2015, leaving one vacancy for an AB Member. A replacement is currently being sought.

## **5. 2014 Joint IAF-ILAC Mid-Term Meetings**

The 2015 Joint IAF-ILAC Mid-Term Meetings were held in Frankfurt, Germany on 09-16 April.

**Strategic Plan:** The current Strategic Plan was approved July 2014. During the Joint Annual IAF-ILAC meetings in Vancouver in Oct. 2014, a Roadmap for implementation was developed, with leads and champions being assigned for each Strategic Direction and Action. Activities to date and those planned for each Strategic Direction and Strategic Action were discussed during the Frankfurt 2015 meetings. The Roadmap was subsequently updated and will be further reviewed at the 2015 Joint IAF-ILAC Annual Meetings in Milan.

**Progress Joint Work Harmonization Task Force:** The Joint Work Harmonization Task Force presented their final report to the Oct. 2014 meetings of the Joint Executive Committees (JEC) and the Joint General Assembly. Key issues and recommendations arising out of the work of the Task Force were highlighted and it was agreed that the JEC would assume responsibility for furtherance of this work, and that implementation would be a combined effort between IAF and ILAC and the Regions.

An IAF EC Task Force met during the April meetings in Frankfurt to discuss potential revisions to IAF documentation, including IAF PL2: Bylaws of the International Accreditation Forum Inc., IAF PL5: Structure of the International Accreditation Forum Inc., and IAF PL6: IAF Memorandum of Understanding, to better document the role of the Regions in IAF. Proposed revisions to these documents have now been sent to all IAF Members for 60-day comment. A JEC Task Force will meet in Milan to continue related discussions.

**Database of Accredited Certifications:** Following the termination of the ISO database project, IAF formed a Task Force to examine the potential for an IAF database of accredited certifications. The Task Force developed a set of draft principles that must be met for the project to proceed, and these were provided to all IAF Members for a comment period ending 07 April 2015. Results were reported at the Frankfurt 2015 Meetings. The Task Force has since met to refine the draft principles and its progress will be reported in Milan.

**Draft Resolution(s) for Joint IAF/ILAC 2015 Annual Meetings:** At its meeting in Frankfurt, the IAF Technical Committee developed a draft resolution for the 2015 IAF General Assembly as follows:

*The General Assembly, acting on the recommendation of the Technical Committee, resolved to endorse the next revision of ISO/IEC 27006:2011: Information technology -- Security techniques -- Requirements for bodies providing audit and certification of information security management systems, as a normative document.*

*The General Assembly further agreed that the transition period for the next revision of ISO/IEC 27006:2011 will be two years from the date of publication.*

## **6. Second OECD Meeting of International Organizations**

On 17 April, OECD held its second meeting of International Organizations, 'Fostering the Contribution of International Organisations to Better Rules of Globalisation'. IAF was represented by the IAF Chair, who gave a presentation on IAF and ILAC's structures, processes and contributions to trade facilitation.

## **7. World Accreditation Day 2015 and 2016**

June 9th marked World Accreditation Day, a global initiative jointly established by IAF and ILAC to raise awareness of the importance of accreditation-related activities. World Accreditation Day is celebrated across the world with the hosting of national events, seminars, and press and media coverage, to communicate the value of accreditation to government, regulators and the leaders of the business community. The agreed 2015 theme was "Accreditation: Supporting the Delivery of Health and Social Care".

The agreed theme for 2016 is "Accreditation: A global tool to support public policy".

## **8. Development/Revision of IAF Documents**

Progress in the development of new, and revision of existing, publicly available IAF documents since October 2014 is detailed in Annex 1.

Twenty-one new/or revised documents were published since Vancouver, seven were sent for 60-day comment, ten were balloted, and a number of others are at the Working Group or Task Force stage. The twenty-one includes two MoUs that were updated, and one Communiqué that was re-issued. Three documents were withdrawn during this time.

Published documents may be found on the IAF website under [iaf.nu](http://iaf.nu), Publications, and are available free of charge.

## **9. Signing of Memoranda of Understanding/Agreements**

### **IAF-ILAC-IEC MoU**

The current IAF-ILAC-IEC MoU is under review. It is hoped that the updated MoU can be signed in October 2015.

Copies of all signed MOU's and Agreements are available on the IAF website under Publications, Documents for General Information.

## **10. Issuing of Communiqués**

The 2009 version of the Joint IAF-ILAC-ISO 15189 Communiqué was updated to reflect the 2012 version of ISO 15189 and was signed by the Chairs of IAF and ILAC and the ISO Secretary General in January 2015.

The two joint ISO-IAF communiques, Implementation of accredited certification to ISO 9001:2008 and Migration to ISO 14001:2004, will be re-issued following the publication of the revised standards.

Copies of all Communiqués are available on the IAF website under Publications, Communiqués.

### **11. Social Media Channels**

IAF has recently joined Twitter. To receive the latest IAF news, including information on publications, events, meetings, liaison activities and member news follow **@IAF\_GLOBAL**.

### **12. 2015 IAF-ILAC Joint Annual Meetings – Milan, Italy**

ACCREDIA will host the 2015 Joint IAF-ILAC Annual Meetings in Milan, Italy from 28 October – 06 November at the Milan Marriott Hotel. Details regarding registration may be found at <http://www.iafilac2015.org/>

### **13. Future IAF and ILAC Joint Meetings**

The 2016 Joint IAF-ILAC Mid-Term Meetings will be held from 30 March – 05 April at Le Méridien Parkhotel in Frankfurt, Germany.

An invitation has been accepted from NABCB and NABL (India) to host the 2016 IAF-ILAC Joint Annual Meetings from 26 October to 4 November at the JW Marriott Hotel New Delhi Aerocity in New Delhi, India.

During the 2015 Joint IAF-ILAC Mid-Term Meetings, a decision was made by the Joint Executive Committee to transition to IAF and ILAC hosting all future meetings.

Links to registration information, including registration and accommodation details, will be posted on the IAF website ([iaf.nu](http://iaf.nu)), under News & Events, Annual meetings for all meetings, when available.

For further information about IAF please go to the IAF web site ([iaf.nu](http://iaf.nu)), or contact the Secretariat at email [secretary@iaf.nu](mailto:secretary@iaf.nu).

Prepared by the IAF Secretary 04 September 2015.

**Annex 1**

**Status – Revision of Existing/Development of New IAF Public Documents  
(Time Period: 08 Oct. 2014 – 04 Sept. 2015)\***

Document Reference	Responsible Committee	Status
<b>Policy Documents (PL Series)</b>		
IAF Charter 2001	Executive Committee	
IAF PL 1:2009 Code of Conduct for Members of the IAF	Executive Committee	
IAF PL 2:2009 Bylaws of the International Accreditation Forum Inc.	Executive Committee	Revised document sent for 60-day comment to all IAF Members 06 Aug. 2015, closing 05 Oct. 2015.
IAF PL 3:2009 Policy and Procedure for Expansion of the Scope of the MLA	Executive Committee (MLAC & TC for technical content)	
IAF PL 4:2009 Rules for IAF Membership Fees	Executive Committee	
IAF PL 5:2014 Structure of the International Accreditation Forum Inc.	Executive Committee	Document sent for 60-day comment period to all IAF Members 24 July 2015; closing 24 Sept. 2015.
IAF PL 6:2015 IAF Memorandum of Understanding	Executive Committee	60-day comment period to all IAF Members closed 22 Sept. 2014; comments to TF Convener and Members 24 Sept. 2014. Document status reviewed during Oct. 2014 EC meeting. Approval to ballot received 23 Mar. 2015. Ballot closed 23 Apr. Approval to publish received 02 May. Issue 5 published 04 May 2015.  Sent for 60-day comment period to all IAF Members 05 Aug. 2015, closing 05 October 2015.
IAF PL7:2015 Quality Manual	Executive Committee	Issue 2, Version 1 revised to include new IAF Mission and Vision statements in IAF Strategic Plan; approval to publish received 13 Oct. 2014. Published 05 Feb. 2015 as Issue 2, Version 2.
<b>Multilateral Recognition Arrangement Documents (ML Series)</b>		
IAF ML 1:2011 Procedure for Exchange of Documentation among IAF MLA Accreditation Bodies	MLA Committee	Sent for 60-day comment to all members 14 August 2015; closing 14 October 2015.
IAF ML 2:2011 General Principles on Use of the IAF MLA Mark	MLA Committee	
IAF ML 3:2012 Guidance for responding to Inquiries on Multilateral Recognition Arrangement (MLA) Signatory Equivalence and on the Acceptance of Certification Documents	MLA Committee	
IAF ML 4: 2015 MLA Policies and Procedures for a Multilateral Recognition Arrangement (MLA) on the Level of Single Accreditation Bodies and on the Level	MLA Committee	Approval by MLA Chair for Version 2 (minor editorial changes to boiler-plate) received 18 Jan. 2015. Issue 7,

of Regional Groups		Version 2 published 19 Jan. 2015.
IAF ML 5:2008 Procedure for IAF Listing of Foreign Critical Locations (FCLs)/Foreign Premises (FPs)	MLA Committee	Withdrawn 29 July 2015, as tool referenced is no longer used.
<b>IAF Guidance Documents (GD Series)</b>		
IAF GD 5:2006 Guidance on ISO/IEC Guide 65:1996	Technical Committee	To be withdrawn after completion of 17065 transition period (15 Sept. 2015).
IAF GD 24:2009 Guidance on the Application of ISO/IEC 17024:2003	Technical Committee	Withdrawn 04 July 2015 following completion of the 17024 transition period.
<b>IAF Informative Documents (ID Series)</b>		
IAF ID 1: 2014 IAF Informative Document for QMS and EMS Scopes of Accreditation	Technical Committee	
IAF ID 3: 2011 Informative Document for Management of Extraordinary Events or Circumstances Affecting AB's, CAB's and Certified Organizations	Technical Committee	
IAF ID 4:2012 Market Surveillance Visits to Certified Organizations	Technical Committee	
IAF ID 6: 2014 Information on the Transition of ISO/IEC 17024: 2003 to ISO/IEC 17024: 2012	Technical Committee	Withdrawn 04 July 2015 following completion of the 17024 transition period.
IAF ID 7: 2014 Informative Document for the Transition of Product Certification Bodies to ISO/IEC 17065: 2012 from ISO/IEC Guide 65: 1996	Technical Committee	
IAF ID 8: 2014 Informative Document for the Transition of Food Safety Management Systems Accreditation to ISO/TS 22003:2013 from ISO/TS 22003:2007.	Technical Committee	
IAF ID 9:2015 Transition Planning Guidance for ISO 9001: 2015	Technical Committee	Second 30-day ballot closed 30 Dec. 2014. Comments to Task Force Co-Conveners 30 Dec. 2014. Approval to publish received 07 Jan. 2015. New document published 12 Jan. 2015.
IAF ID 10:2015 Transition Planning Guidance for ISO 14001: 2015	Technical Committee	Approval for ballot received 12 Jan. 2015. 30-day ballot closed 13 Feb. 2015. Comments to Task Force Co-Conveners for consideration 13 Feb. 2015. Final OK to publish received 26 Feb. 2015; new document published 27 Feb. 2015.
IAF ID 11:2015 Information on the Transition of Management System Accreditation to ISO/IEC 17021-1: 2015 from ISO/IEC 17021:2011	Technical Committee	Approval for ballot received 11 Dec. 2014. 30-day ballot closed 06 Feb. 2015. Comments referred to Acting Task Force Convener for consideration 06 Feb. 2015. OK to publish received 09 Mar. 2015. New document published 06 Mar. 2015.
<b>IAF Mandatory Documents (MD Series)</b>		
IAF MD 1:2007 Certification of Multiple Sites Based on Sampling	Technical Committee	
IAF MD 2:2007 Transfer of Accredited Certification of Management Systems	Technical Committee	

IAF MD 3:2008 Advanced Surveillance and Recertification Procedures (ASRP)	Technical Committee	
IAF MD 4:2008 Use of Computer Assisted Auditing Techniques ("CAAT") for Accredited Certification of Management Systems	Technical Committee	
IAF MD 5:2015 Determination of Audit Time of Quality and Environmental Management Systems	Technical Committee	Approval to ballot received 12 Nov. 2014. 30-day ballot closed 18 Dec. 2014. Comments to TF Co-Conveners 19 Dec. 2014. Final approval to publish received 25 May 2015. Revised document published 09 June 2015.
IAF MD 6:2014 Application of ISO 14065:2013	Technical Committee	
IAF MD 7:2010 Harmonization of Sanctions	Technical Committee	
IAF MD 8:2015 Application of ISO/IEC 17011 in the Field of Medical Device Quality Management Systems (ISO 13485)	Technical Committee	Approval to ballot received 20 Oct. 2014. 30-day ballot closed 06 Dec. 2014. Comments to Co-Conveners 08 Dec. 2014. Approval to publish received 11 Dec. 2014. Revised document (Issue 2) published 08 Jan. 2015.
IAF MD 9:2015 Application of ISO/IEC 17021 in Medical Device Quality Management Systems (ISO 13485)	Technical Committee	Approval to ballot received 20 Oct. 2014. 30-day ballot closed 06 Dec. 2014. Comments to Co-Conveners 08 Dec. 2014. Approval to publish received 07 Jan. 2015. Revised document (Issue 2) published 08 Jan. 2015.
IAF MD 10:2013 IAF Mandatory Document for Assessment of Certification Body Management of Competence in Accordance with ISO/IEC 17021: 2011	Technical Committee	
IAF MD 11:2013 IAF Mandatory Document for Application of ISO/IEC 17021 for Audits of Integrated Management Systems (IMS)	Technical Committee	
IAF MD 12:2013 Assessment of Certification Activities for Cross-Frontier Accreditation	Technical Committee	Sent to IAF Members 14 Aug. 2015 for 60-day comment period closing 14 Oct. 2015.
IAF MD13: 2015 Knowledge Requirements for Accreditation Bodies Auditing and Certifying ISMS Systems (ISO/IEC 27001)	Technical Committee	Approval by TC Chair for Version 2 (minor editorial change to correct issue date) received 18 Jan. 2015. Issue 1, Version 2 published 19 Jan. 2015.
IAF MD 14: 2014 Application of ISO/IEC 17011 in GHG Validation and Verification (ISO 14065: 2013)	Technical Committee	
IAF MD 15: 2014 IAF Mandatory Document for the Collection of Data to Provide Indicators of Management System Certification Bodies' Performance	Technical Committee	
IAF MD16: 2015 Application of ISO/IEC 17011 for the Accreditation of Food Safety Management Systems (FSMS) Certification Bodies	Technical Committee	Approval by TC Chair for Version 2 (change to application date to align with ISO/TS 22003:2013 – Food Safety Management Systems – Requirements for bodies providing audit and certification of food safety management systems) received 18



		Jan. 2015. Issue 1, Version 2 published 19 Jan. 2015.
IAF MD17: 2015 Witnessing Activities for the Accreditation of Management Systems Certification Bodies	Technical Committee	Approval to ballot received 11 Oct. 2014. 30-day ballot closed 27 Nov. 2014. Comments to Co-Conveners 29 Nov. 2014. Issue 1 published 07 Jan. 2015. A minor editorial revision resulted in publication of Issue 1, Version 2 on 09 Jan. 2015.
IAF MD18:2015 Application of ISO/IEC 17021: 2011 in the Service Management Sector (ISO/IEC 20000-1)	Technical Committee	30-day ballot closed 07 Oct. 2014. Comments to Convener 07 Oct. 2014. Agreement to publish received 07 Jan. 2014. New document published 08 Jan. 2015.
IAF MDX:201X Generic Competence for AB Assessors: Application to ISO/IEC 17011	Technical Committee	60-day comment period closed 13 July 2015. Results sent to Co-Conveners 16 July 2015.
IAF MDX:201X IAF Mandatory Document For Certification of a Management System operated by a Multi-Site Organization (which does not meet the IAF MD 1 eligibility criteria for sampling)	Technical Committee	60-day comment period closed 13 July 2015. Results sent to Convener 17 July 2015.
<b>IAF Procedures Documents (PR Series)</b>		
IAF PR 1:2007 Procedure for the Investigation and Resolution of Complaints	Executive Committee	60-day comment period closed 18 Aug. 2014; results to TF Convener 18 Aug. 2014. 30-day ballot closed 13 July. Results sent to Convener 13 July. Approval to publish received 15 July. Revised document published 27 July 2015.
IAF PR 2:2012 General Procedures for the Development of IAF Documents	Executive Committee	
IAF PR 3:2005 Procedures for IAF General Assembly Meetings	Executive Committee	
IAF PR 4:2014 Structure of the IAF MLA and List of Endorsed Normative Documents	Executive (MLAC for technical content)	OK to publish received 30 Sept. 2014. Oct. GA Resolution to extend MLA to GHG 17 Oct. 2014 resulted in further revision. Revised document to IAF EC 07 Nov. 2014 for review/ agreement to publish. Second OK to publish received 12 Nov. 2014. Revised document published 13 Nov. 2014.
IAF PR 5:2006 Procedure for Handling Applications for Membership in IAF	Executive Committee	
IAF PR 6:2011 Assignment of IAF Liaisons	Executive Committee	
<b>IAF-ILAC Joint Publications (A Series)</b>		
IAF/ILAC A1: 02/2014 IAF/ILAC Multi-Lateral Mutual Recognition Arrangements (Arrangements): Requirements and Procedures for Evaluation of a Regional Group	MLA Committee (JWGA)	
IAF/ILAC A2: 02/2014 IAF/ILAC Multi-Lateral Mutual Recognition Arrangements (Arrangements): Requirements and Procedures for Evaluation of a Single Accreditation Body	MLA Committee (JWGA)	

IAF/ILAC A3:01/2013 IAF/ILAC Multilateral Mutual Recognition Arrangements (Arrangements): Narrative Framework for Reporting on the Performance of an Accreditation Body (AB) - A Tool for the Evaluation Process	MLA Committee (JWGA)	
IAF/ILAC A5: 11/2013 IAF/ILAC Multi-Lateral Mutual Recognition Arrangements (Arrangements): Application of ISO/IEC 17011:2004	TC Committee (JWGA)	
IAF/ILAC A6: 02/2015 Approval Process for IAF/ILAC A-Series Documents	Joint Executive Committee	Status reviewed at JEC in Oct 2014. Sent to IAF and ILAC Members for 30-day ballot 21 Jan. 2015. Ballot closed 21 Feb. 2015. Comments to Co-Conveners 21 Feb. 2014. Agreement to publish received 24 Feb. 2014. New document published 25 Feb. 2015.
<b>Documents for General Information</b>		
Application for Membership in IAF	IAF Secretary	
Application for Special Recognition by IAF	IAF Secretary	
IAF Public Document Responsibility: Apr. 2015	IAF Secretary	Document status reviewed and changes agreed 14 Apr. 2015. Revision 8 published 23 Apr. 2015.
IAF Representatives, Contacts and Liaisons: June 2015	IAF Secretary	Document status reviewed and changes agreed 14 Apr. 2015. Revision 5 published 11 June 2015.
IAF Strategic Plan 2015 - 2019	Executive Committee	
<b>Memoranda of Understanding Signed by IAF</b>		
IAF-ILAC Agreement on Closer Cooperation (October 25, 2012)	Executive Committee	
IAF-ILAC-IEC Memorandum of Understanding (October 25, 2012)	Executive Committee	Revised MoU sent 25 May to IAF and ILAC Members for comment period ending 15 June. Proposed changes forwarded to IEC 23 July 2015.
IAF-ILAC-ITU Memorandum of Understanding (May 14, 2012)	Executive Committee	
IAF-ILAC-OIML Memorandum of Understanding (October 16, 2014)	Executive Committee	Revised MoU signed during JGA in Vancouver, and published 24 Oct. 2014.
IAF-GLOBALG.A.P Memorandum of Understanding (May 29, 2009)	Executive Committee	
IAF-ILAC-ISO Memorandum of Understanding (April 10, 2014)	Executive Committee	
IAF-ILAC-UNIDO Memorandum of Understanding (October 16, 2014)	Executive Committee	Revised MoU signed during JGA in Vancouver, and published 24 Oct. 2014.
<b>Communiqués Signed by IAF</b>		
Joint IAF-ILAC-ISO Communiqué on the Management system requirements of ISO 15189:2007 - Medical laboratories - Particular requirements for quality and competence (and related press release)	Members of the JSG ISO-IAF-ILAC	Proposed editorial revisions to Communiqué and press release referred to IAF and ILAC ECs for consideration 24 Nov. 2014. Additional edit proposed by TC 176 18 Dec. 2014. Accepted by ILAC Chair 20 Dec.

*International Accreditation Forum, Inc.*

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		2014 and IAF Chair 08 Jan. 2015. Published 16 Feb. 2015.
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\* Reflects documents at the 60-day comment to all IAF Members and 30-day ballot to all IAF Members stages, and activities since the 2014 Joint Annual Meetings.